

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	Not Applicable
ISIN	INE296H01011
Name of the company	Emkay Global Financial Services Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.55	18353984		18353984	74.55
(B)	Public	8469	6265046			6265046	25.45	6265046		6265046	25.45
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8476	24619030			24619030	100	24619030		24619030	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				74.55					18353984
(B)	Public				25.45					6261032
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24615016

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.85	13502500		13502500	54.85
(d)	Any Other (specify)	1	4851484			4851484	19.71	4851484		4851484	19.71
Sub-Total (A)(1)		7	18353984			18353984	74.55	18353984		18353984	74.55
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	18353984			18353984	74.55	18353984		18353984	74.55
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	2	27350			27350	0.11	27350		27350	0.11
Sub-Total (B)(1)		2	27350			27350	0.11	27350		27350	0.11
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7839	3012050			3012050	12.23	3012050		3012050	12.23
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	16	1635983			1635983	6.65	1635983		1635983	6.65
(e)	Any Other (specify)	612	1589663			1589663	6.46	1589663		1589663	6.46
Sub-Total (B)(3)		8467	6237696			6237696	25.34	6237696		6237696	25.34
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8469	6265046			6265046	25.45	6265046		6265046	25.45
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		8476	24619030			24619030	100	24619030		24619030	100
Total (A+B+C )		8476	24619030			24619030	100	24619030		24619030	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				54.85					13502500
(d)				19.71					4851484
Sub-Total (A) (1)				74.55					18353984
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				74.55					18353984
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				0.11					27350
Sub-Total (B) (1)				0.11					27350
( 3 )	Non-institutions								
(a(i))				12.23					3008036
(a(ii))				6.65					1635983
(e)				6.46					1589663
Sub-Total (B) (3)				25.34					6233682
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25.45					6261032
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					24615016
Total (A+B+C )				100					24615016

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Krishna Kumar Karwa	Prakash Kacholia	Preeti Kacholia	Raunak Karwa	Krishna Kumar Karwa (for Krishna Kumar Karwa HUF)	Krishna Kumar Karwa (for Murlidhar Karwa HUF)
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.99	19.29	7.64	7.11	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.99	19.29	7.64	7.11	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	19.99	19.29	7.64	7.11	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in						

dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group



Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.85
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.85
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	54.85
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	13502500

Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Emkay Corporate Services Private Limited	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.71	19.71
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg: y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.71	19.71
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.71	19.71
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total		

Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	Ganesh Srinivasan	Porinju V Veliyath	Rajiv P Shroff	<a href="#">Click here to go back</a>
PAN (II)	AAGPS5047M	AADPP6420G	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	250769	343500	465732	1060001
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	250769	343500	465732	1060001
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.4	1.89	4.31
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	250769	343500	465732	1060001
Class eg:y				
Total	250769	343500	465732	1060001
Total as a % of Total Voting rights	1.02	1.4	1.89	4.31
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.02	1.4	1.89	4.31
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	250769	343500	465732	1060001
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	IEPF	HUF	Non-Resident Indian (NRI)	Employees	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						
PAN (II)						
No. of the Shareholders (I)	1	242	224	34	46	65
No. of fully paid up equity shares held (IV)	3105	558958	462616	274708	124309	165967
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3105	558958	462616	274708	124309	165967
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	2.27	1.88	1.12	0.5	0.67
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3105	558958	462616	274708	124309	165967
Class eg: y						
Total	3105	558958	462616	274708	124309	165967
Total as a % of Total Voting rights	0.01	2.27	1.88	1.12	0.5	0.67
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.01	2.27	1.88	1.12	0.5	0.67
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized	3105	558958	462616	274708	124309	165967

form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	612
No. of fully paid up equity shares held (IV)	1589663
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1589663
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.45
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1589663
Class eg:y	
Total	1589663
Total as a % of Total Voting rights	6.45
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	6.45
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized	1589663



form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

significant beneficial owners													
he SBO				Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
AACPK9149E	N.A.	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A.	India		9.86	9.86	9.86	Yes	Yes
Total:									9.86	9.86	9.86		
AADPK7247C	N.A.	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A.	India		9.86	9.86	9.86	Yes	Yes
Total:									9.86	9.86	9.86		

